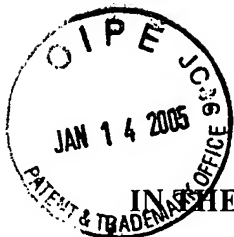


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. John Brotzman  
of:

Serial 10/771,755

No:

Filed: February 4, 2004

Entitled: ACTUATION SYSTEM FOR FLUID FLOW  
DIVERTER

Examiner:

Art Unit: 3753

Attorney Dkt: BAC-002

Paper No.: 2

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**FIRST INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted in accordance with the duty of disclosure under 37 C.F.R. 1.56.

USPTO Form SB-08 (one sheet) is included herewith. Pursuant to current rules, copies of the listed US patent references have not been provided.

Pursuant to 37 C.F.R. § 1.97, Applicant states that each item of information contained in this Information Disclosure Statement has been cited prior to receipt of the first office action therefore, no fee is required.

Applicant does not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents

related to this invention.



Applicant respectfully requests that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Chris A. Caseiro".

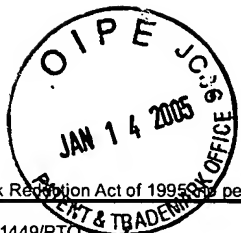
Chris A. Caseiro  
Reg. No. 34,304  
Attorney for Applicant  
Telephone: (207) 253-4530  
Fax: (207) 774-7499

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on January 10, 2005.

Signature: Chris A. Caseiro

Typed or printed name: Chris A. Caseiro



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/771,755
Filing Date	02/04/2004
First Named Inventor	Brotzman
Art Unit	3753
Examiner Name	
Attorney Docket Number	BAC-002

Sheet

1

of

1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,116,264	09/12/2000	Bachmann	
		US- 5,918,632	07/06/1999	Bachmann, et al.	
		US- 5,186,205	02/16/1993	Bachmann	
		US- 4,932,437	06/12/1990	Bachmann	
		US- 4,919,169	04/24/1990	Bachmann, et al.	
		US- 4,905,662	03/06/1990	Bachmann	
		US- 4,832,527	05/23/1989	Bachmann	
		US- 4,823,836	04/25/1989	Bachmann, et al.	
		US- 4,821,507	04/18/1989	Bachmann, et al.	
		US- 4,800,919	01/31/1989	Bachmann	
		US- 4,493,342	01/15/1985	Bachmann	
		US- 4,493,311	01/15/1985	Bachmann	
		US- 4,327,893	05/04/1982	Bachmann	
		US- 4,163,458	08/07/1979	Bachmann	
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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